

Declaration of Honour and Legal obligations

Note: please complete all the field with your data and duly sign the document.

I, the undersigned

As authorized legal representative of the above mentioned SME, Hereby certify that all the following conditions are met

1. Eligibility conditions for the SME Success Stories call

- a. The information provided for project _____ is correct and complete
- b. The organization I represent complies with SME definition as defined in the [EU Recommendation 2003/361](#)
- c. My organization complies with all the eligibility criteria described in the call for proposals and in the Section 2.5 of the Guide for Applicants for the Vanguard Initiative SME Success Stories Call
- d. My organization is registered in one of the [Vanguard Initiative member Regions](#)
- e. I understand that the collaboration partner for the project submitted (either already specified in the application or to be identified during the process) shall also be located in one of the [Vanguard Regions](#)
- f. I agree with the Vanguard Initiative SME Success Stories Call terms and conditions as specified in the guide for applicants [available here](#)

2. Operational, financial and legal capacity

- a. My organization is committed to participate in the project and have sufficient financial, operational and technological resources to carry on the activities described in the application form attached
- b. My organization is NOT in one of the following **exclusion situations**¹:
 - i. **bankrupt**, being wound up, having the affairs administered by the courts, entered into an arrangement with creditors, suspended business activities or subject to any other similar proceedings or procedures
 - ii. in breach of **social security** or **tax** obligations
 - iii. guilty of grave professional **misconduct**²
 - iv. committed fraud, corruption, links to a **criminal** organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking

¹ See Articles 136(1) and 141(1) [EU Financial Regulationn](#).

² Professional misconduct includes: violation of ethical standards of the profession, wrongful conduct with impact on professional credibility, false declarations/misrepresentation of information, participation in a cartel or other agreement distorting competition, violation of IPR, attempting to influence decision-making processes or obtain confidential information from public authorities to gain an advantage.

- v. shown significant deficiencies in complying with main obligations under an EU procurement contract, grant agreement or grant decision
- vi. guilty of **irregularities** within the meaning of Article 1(2) of Regulation No 2988/95
- vii. created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin (including creation of another entity with this purpose).

3. Accuracy of information provided and obligations

- a. I declare that all the information provided in the application form are true and accurate to my best knowledge
- b. I confirm that I am aware that the awarded Voucher can be suspended/not paid in case of:
 - i. misrepresentation or failure in supplying the information required by the grant
 - ii. Failure in providing the Final Reports at the end of project
 - iii. failure in fulfilling the obligations and conditions agreed upon.
- c. I confirm that, if selected for funding and support under the Vanguard Initiative SME Success Stories Call, I will submit a final report to the managing authorities at the end of the project detailing the activities undertaken and the support received.

4. Ethics issue

- a. I confirm that I the submitted project does not involve one (or more) of the ethical and/or security issues belonging to one of the categories below³:
 - i. Human embryos & fetuses
 - ii. Human cells or tissues
 - iii. In vivo-experiments on animals
 - iv. Dual use Technology
 - v. Potential misuse of research results
 - vi. Other ethics issues

SIGNATURE

For the applicant:

[Signature]

³ In case you require more clarification on this section, please refer to the EC's guidance on how to complete ethics self-assessment available [here](#) or contact the managing organisation of the programme at sme@s3vanguardinitiative.eu